


**CCIIO SF-PPR-B**  
**Grantee Information & Certification**

<b>PERFORMANCE PROGRESS REPORT SF-PPR</b>			
<b>1. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight		<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> HBEIE110072	
		<b>3a. DUNS Number</b> 162025324	
		<b>3b. EIN</b> 1556000786A2	
<b>4. Recipient Organization</b> West Virginia Offices of the Insurance Commissioner			<b>5. Recipient Identifying Number or Account Number</b>
<b>Address Line 1</b> 1124 Smith St			
<b>Address Line 2</b> Health Policy			
<b>Address Line 3</b>			
<b>City</b> Charleston	<b>State</b> WV	<b>Zip Code</b> 25301	<b>Zip Ext.</b> 1323
<b>6. Project/Grant Period Start Date:</b> 08/15/2011	<b>6. Project/Grant Period End Date:</b> 08/13/2013	<b>7. Reporting Period End Date:</b> 12/31/2012	<b>8. Final Report?</b> Yes
			<b>9. Report Frequency</b> SEMI-ANNUAL
<b>10. Performance Narrative (Attach a performance narrative as instructed by the awarding Federal Agency)</b>			
<b>11. Other Attachments (attach other documents as needed or as instructed by the awarding Federal Agency)</b>			

**Certification**

<b>12. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.</b>	
<b>12a. Typed or Printed Name and Title of Authorized Certifying Official</b> Jeremiah Samples	<b>12c. Telephone (area code, number and extension)</b> (304) 558- 6279 Ext. 01131
	<b>12d. Email Address</b> jeremiah.samples@wvinsurance.gov
<b>12b. Signature of Authorized Certifying Official</b> 	<b>12e. Date Report Submitted (Month, Day, Year)</b> 01/28/2013

### A. Core Areas Legal Authority and Governance

<b>1. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> HBEIE110072	<b>3a. DUNS</b> 162025324	<b>4. Reporting Period End Date</b> 12/31/2012
		<b>3b. EIN</b> 1556000786A2	
<b>A. Core Area with associated Milestones</b>			

### A. Core Areas

(1) Question	(2) Response
<b>Core Area and Business Function</b>	Legal Authority and Governance
What are the primary strategies your Program has used to approach this Core Area?	WV Senate Bill 408 was signed in March 2011 which enables the State to develop a State-based Exchange. No activity related to this occurred during this quarter.
What are some of your Program's significant accomplishments or strengths in this Core Area?	Please see the attachment below; WV Senate Bill 408.
What are some of the significant barriers your Program has encountered?	The State has announced that it will be entering into a Partnership Exchange and does not require the establishment of a Board or enabling authority.
What strategies has your Program employed to deal with these barriers?	The OIC continues to assess the financial feasibility of a Health Benefit Exchange and the possibility of transitioning the State from a Partnership Exchange to a SBE in future years.

### B. Exchange Activity

	Exchange Activity	Target Completion	Status of Exchange Activity	Documentation
1	Enabling authority for Exchange and SHOP	Q1 - CY2012	5. Complete	Please see attached.
2	Board and governance structure	Q1 - CY2012	5. Complete	

## A. Core Areas Consumer and Stakeholder Engagement and Support

<b>1. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> HBEIE110072	<b>3a. DUNS</b> 162025324  <b>3b. EIN</b> 1556000786A2	<b>4. Reporting Period End Date</b> 12/31/2012
<b>A. Core Area with associated Milestones</b>			

### A. Core Areas

(1) Question	(2) Response
<b>Core Area and Business Function</b>	Consumer and Stakeholder Engagement and Support
<b>What are the primary strategies your Program has used to approach this Core Area?</b>	The OIC continues to hold monthly stakeholder meetings with agents, providers, carriers, and consumer advocates. Internal planning continues for a long-term education and outreach plan for a Partnership Exchange, as well as research related to hosting an In-Person Assistance program.
<b>What are some of your Program's significant accomplishments or strengths in this Core Area?</b>	Some of the accomplishments this period include: <ul style="list-style-type: none"> <li>• Redesign of the <a href="http://www.bewv.com">www.bewv.com</a> website for increased consumer accessibility (this website will be going through an additional modification to provide increased focus on general health reform);</li> <li>• Reconfiguration of an outreach and marketing RFP that is better suited to meet the needs of a Partnership Exchange;</li> <li>• Continued dialogue with external stakeholders and presentations to interested parties;</li> <li>• Continued to disseminate monthly What's New report (available on bewv.com website);</li> <li>• Research on the Consumer Assistance partnership and developing strategies to best implement Consumer Assistance in WV, pending Governor's decision.</li> </ul>
<b>What are some of the significant barriers your Program has encountered?</b>	WV has not made a decision yet whether it will enter into a Consumer Assistance partnership with the feds.
<b>What strategies has your Program employed to deal with these barriers?</b>	The OIC strives to maintain open dialogue with stakeholders and be open to requests, questions, and comments.

### B. Exchange Activity

Exchange Activity	Target Completion	Status of Exchange Activity	Documentation
1 Stakeholder consultation plan			WV continues to hold on-going monthly stakeholder meetings facilitated by CESD.
2 Tribal consultation plan			N/A
3 Outreach and education			RFP for marketing is currently under development.
4 Call center			N/A
5 Internet Web site			WV has developed <a href="http://www.bewv.com">www.bewv.com</a> website, which will serve as an information website for consumers related to Consumer Assistance and as a transition page to the Federal portal.
6 Navigators	Q4 - CY2013	3. On Schedule	If the State were to pursue a Consumer Assistance Partnership, it will provide oversight of the Navigator program.
7 Agents/brokers			N/A
8 Web brokers			N/A

### A. Core Areas Eligibility and Enrollment

<b>1. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> HBBIE110072	<b>3a. DUNS</b> 162025324	<b>4. Reporting Period End Date</b> 12/31/2012
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<b>A. Core Area with associated Milestones</b>			

### A. Core Areas

(1) Question	(2) Response
<b>Core Area and Business Function</b>	Eligibility and Enrollment
What are the primary strategies your Program has used to approach this Core Area?	Regularly meet with federal and state partners to assess options for eligibility determinations for various Exchange approaches.
What are some of your Program's significant accomplishments or strengths in this Core Area?	The OIC continues to work in conjunction with both Medicaid and CHIP, as well as other key partners.
What are some of the significant barriers your Program has encountered?	WV will be entering into a Partnership Exchange for 2014, but there are concerns related to the cost of IT development and IT redundancy, should the state proceed with a SBE in future years.
What strategies has your Program employed to deal with these barriers?	Continuing to work and collaborate with key partners.

### B. Exchange Activity

	Exchange Activity	Target Completion	Status of Exchange Activity	Documentation
1	Single streamlined application(s) for Exchange and SHOP			N/A
2	Coordination strategy with Insurance Affordability Programs and the SHOP			N/A
3	Application, updates, acceptance and processing, and responses to redeterminations			N/A
4	Notices, data matching, annual redeterminations and response processing			N/A
5	Verifications			N/A
6	Document acceptance and processing			N/A
7	Eligibility determination			N/A
8	Eligibility determinations for APTC and CSR			N/A
9	Applicant and employer notification			N/A
10	Individual responsibility requirement and payment exemption determinations			N/A
11	Eligibility appeals			N/A
12	QHP selections and terminations, and APTC/advance CSR information processing			N/A
13	Electronically report results of eligibility assessments and determinations			N/A
14	High risk pool transition plan	Q4 - CY2013	3. On Schedule	On-going review of transitioning AccessWV (State's high risk pool) into other coverage options per federal requirements.

## A. Core Areas Plan Management

<b>1. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> HBBE110072	<b>3a. DUNS</b> 162025324	<b>4. Reporting Period End Date</b> 12/31/2012
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<b>A. Core Area with associated Milestones</b>			

### A. Core Areas

(1) Question	(2) Response
<b>Core Area and Business Function</b>	Plan Management
<b>What are the primary strategies your Program has used to approach this Core Area?</b>	<p>A core team focused on Plan Management continues to meet to review open decisions and areas of uncertainty. The team submits questions to CCIO regularly and is developing processes and standards as new information is gathered from CCIO. The OIC continues to seek and incorporate input from the carrier community and other stakeholders throughout the planning stages. The team maintains a work plan to manage and monitor all tasks and deadlines, as well as a risks, issues, and decisions logs.</p> <p>This work group consists of internal stakeholders from Market Conduct, Rates &amp; Forms, Financial Conditions, Health Policy, and Consumer Services.</p>
<b>What are some of your Program's significant accomplishments or strengths in this Core Area?</b>	<p>A team from across OIC Divisions continues to refine Plan Management business processes and standards and has drafted Blueprint responses, a QHP certification checklist, and a QHP Submission Guide for carriers accordingly; the OIC delivered a presentation to carriers on QHP certification and solicited input on items such as the QHP certification timeline, and the NAIC's SERFF team also presented to the stakeholder group on past and future expected progress on SERFF enhancements. Development of staffing plans is also underway.</p>
<b>What are some of the significant barriers your Program has encountered?</b>	<p>Barriers include estimating OIC resource levels required to support QHP certification due to uncertainty around the number of QHPs that will be submitted and the Federal requirements for various certification standards (e.g. network adequacy, cost-sharing reductions and actuarial value). Delays in Federal guidance and clarity on MOU requirements in a SPE have impeded the ability to develop processes and standards in certain areas (e.g. decertification and appeals, discriminatory benefit design) although some movement in those areas has occurred recently.</p>
<b>What strategies has your Program employed to deal with these barriers?</b>	<p>The OIC has proceeded with the actuarial vendor on developing rating factors to the degree possible with the information available, conforming to what the market is currently doing as appropriate to minimize disruption. The team is also performing as much work outside of meetings as possible to make the best use of staff time, is leveraging consultants, is maximizing efficiencies by building on existing OIC processes, and is prioritizing efforts/planning around areas where Federal guidance is clear.</p>

### B. Exchange Activity

	Exchange Activity	Target Completion	Status of Exchange Activity	Documentation
1	Appropriate authority to perform and oversee certification of QHPs	Q4 - CY2012	5. Complete	Please see documentation provided as part of Design Review for details
2	QHP certification process	Q1 - CY2013	3. On Schedule	QHP application window expected to open 4/1/2013.
3	Plan management system(s) or processes that support the collection of QHP issuer and plan data	Q1 - CY2013	3. On Schedule	WV will be using SERFF; expected release date of 3/28/13.
4	Ensure ongoing QHP compliance	Q4 - CY2012	5. Complete	Please see attached documentation under Exchange Activity 1.
5	Support issuers and provide technical assistance	Q4 - CY2013	3. On Schedule	This is an on-going project and is expected to continue throughout 2013.
6	Issuer recertification, decertification and appeals	Q2 - CY2013	2. Behind	Process development for decertification and appeals have been delayed as WV awaits responses from CCIO and clarification on responsibilities in the MOU.
7	Timeline for QHP accreditation	Q3 - CY2012	5. Complete	Federal requirements must be used in State Partnership Exchange.
8	QHP quality reporting	Q1 - CY2013	3. On Schedule	OIC will support the reporting of CAPHS data (through SERFF) for accrediting issuers prior to 2016; see Design Review materials attached in Exchange Activity 1.

### A. Core Areas Risk Adjustment and Reinsurance

<b>1. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> HBBE110072	<b>3a. DUNS</b> 162025324	<b>4. Reporting Period End Date</b> 12/31/2012
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<b>A. Core Area with associated Milestones</b>			

### A. Core Areas

(1) Question	(2) Response
<b>Core Area and Business Function</b>	Risk Adjustment and Reinsurance
What are the primary strategies your Program has used to approach this Core Area?	WV will allow CMS/HHS to operate the 3R programs for WV in 2014 given its decision to become a State-Partnership Exchange.
What are some of your Program's significant accomplishments or strengths in this Core Area?	Have worked collaboratively with Feds and stakeholders to better understand options available to the state related to this core area.
What are some of the significant barriers your Program has encountered?	The reinsurance program is the only 3R program available to states in a Partnership Exchange and it has been decided to defer to the Feds on this.
What strategies has your Program employed to deal with these barriers?	Working collaboratively with key stakeholders and federal partners. The state has secured funding through its Level 1 Establishment Grant to continue to explore risk adjustment via APCD funding for long-term use.

### B. Exchange Activity

	Exchange Activity	Target Completion	Status of Exchange Activity	Documentation
1	Risk adjustment program			N/A
2	Reinsurance program			N/A

### A. Core Areas Small Business Health Options Program (SHOP)

<b>1. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> HBBIE110072	<b>3a. DUNS</b> 162025324  <b>3b. EIN</b> 1556000786A2	<b>4. Reporting Period End Date</b> 12/31/2012
<b>A. Core Area with associated Milestones</b>			

### A. Core Areas

(1) Question	(2) Response
<b>Core Area and Business Function</b>	Small Business Health Options Program (SHOP)
What are the primary strategies your Program has used to approach this Core Area?	No SHOP-specific activities occurred during this reporting period. The State will be entering into a State-Partnership Exchange.
What are some of your Program's significant accomplishments or strengths in this Core Area?	No SHOP-specific activities occurred during this reporting period. The State will be entering into a State-Partnership Exchange.
What are some of the significant barriers your Program has encountered?	No SHOP-specific activities occurred during this reporting period. The State will be entering into a State-Partnership Exchange.
What strategies has your Program employed to deal with these barriers?	No SHOP-specific activities occurred during this reporting period. The State will be entering into a State-Partnership Exchange.

### B. Exchange Activity

	Exchange Activity	Target Completion	Status of Exchange Activity	Documentation
1	SHOP compliance with 45 CFR ? 155 Subpart H			N/A
2	SHOP premium aggregation			N/A
3	Electronically report results of eligibility assessments and determinations for SHOP			N/A

### A. Core Areas Organization and Human Resources

<b>1. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> HBEIE110072	<b>3a. DUNS</b> 162025324	<b>4. Reporting Period End Date</b> 12/31/2012
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<b>A. Core Area with associated Milestones</b>			

### A. Core Areas

(1) Question	(2) Response
<b>Core Area and Business Function</b>	Organization and Human Resources
What are the primary strategies your Program has used to approach this Core Area?	The OIC continues to leverage existing resources from other divisions, including Rates & Forms, Market Conduct, Consumer Services, Legal, and Financial Conditions to supplement the small staff of the Health Policy Unit that is tasked with the Exchange project.
What are some of your Program's significant accomplishments or strengths in this Core Area?	Development of a refined staffing model for a Partnership Exchange.
What are some of the significant barriers your Program has encountered?	Given uncertainty related to the Partnership Exchange, there remains a staffing shortage within the Health Policy Unit.
What strategies has your Program employed to deal with these barriers?	The OIC leverages staff from other departments to the extent possible, outsources work to other agencies through MOUs, and uses contractual services for some projects.

### B. Exchange Activity

	Exchange Activity	Target Completion	Status of Exchange Activity	Documentation
1	Organizational structure and staffing resources to perform Exchange activities	Q2 - CY2013	3. On Schedule	A revised staffing model for the State-Partnership Exchange is currently under development for submission with the State's second Level 1 grant request in February.



### A. Core Areas Finance and Accounting

<b>1. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> HBBIE110072	<b>3a. DUNS</b> 162025324	<b>4. Reporting Period End Date</b> 12/31/2012
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<b>A. Core Area with associated Milestones</b>			

### A. Core Areas

(1) Question	(2) Response
<b>Core Area and Business Function</b>	Finance and Accounting
What are the primary strategies your Program has used to approach this Core Area?	The Health Policy Unit continues to engage OIC Financial Accounting to assist in managing the OIC's implementation grants.
What are some of your Program's significant accomplishments or strengths in this Core Area?	Contracting with CCRC Actuaries to assist in refining the financial sustainability model. A business plan has been developed by BerryDunn and is currently under internal revision to reflect the State's transition to an SPE.
What are some of the significant barriers your Program has encountered?	N/A
What strategies has your Program employed to deal with these barriers?	Collaborating with other jurisdictions and HHS officials to find solutions to bring down projected costs. The State is also working with NASHP to identify areas where states could leverage resources across borders.

### B. Exchange Activity

	Exchange Activity	Target Completion	Status of Exchange Activity	Documentation
1	Long-term operational cost, budget, and management plan			Via its contract with CCRC, the state is currently analyzing the costs associated with the Partnership Exchange and refining its original cost projections associated with an SBE pending its future transition.

### A. Core Areas Technology

<b>1. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> HBBIB110072	<b>3a. DUNS</b> 162025324	<b>4. Reporting Period End Date</b> 12/31/2012
		<b>3b. EIN</b> 1556000786A2	
<b>A. Core Area with associated Milestones</b>			

### A. Core Areas

(1) Question	(2) Response
<b>Core Area and Business Function</b>	Technology
What are the primary strategies your Program has used to approach this Core Area?	Per SERFF licensure agreement, the NAIC has assured states that SERFF will comply with all Federal requirements. Per the requirements outlined in the Exchange blueprint, the State attests to the required components related to technology in a State-Partnership Exchange.
What are some of your Program's significant accomplishments or strengths in this Core Area?	An IT RFP has been developed should the State wish to transition to an SBE in the future. It is currently on hold with State Purchasing.
What are some of the significant barriers your Program has encountered?	The barriers associated with technology revolve around the associated costs, should the State choose to enter into an SBE.
What strategies has your Program employed to deal with these barriers?	The State will be pursuing a Partnership Exchange and continue to evaluate its options moving forward related to an SBE.

### B. Exchange Activity

	Exchange Activity	Target Completion	Status of Exchange Activity	Documentation
1	Compliance with HHS IT Guidance			Per SERFF licensure agreement, the NAIC has assured states that SERFF will comply with all federal requirements.
2	Adequate technology infrastructure and bandwidth			N/A
3	IV&V, quality management and test procedures			Per Weekly HIX Update from CCHIO on 9/27, States that decide to use the National Association of Insurance Commissioners' System for Electronic Rate and Form Filing (SERFF) are not required to submit test results and IV&V in their Blueprint.

**A. Core Areas Privacy and Security**

1. Federal Agency and Organization Element to Which Report is Submitted Consumer Information & Insurance Oversight	2. Federal Grant or Other Identifying Number Assigned by Federal Agency HBEIE110072	3a. DUNS 162025324	4. Reporting Period End Date 12/31/2012
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A. Core Area with associated Milestones			

**A. Core Areas**

(1) Question	(2) Response
Core Area and Business Function	Privacy and Security
What are the primary strategies your Program has used to approach this Core Area?	No activity in this Core Area occurred during this reporting period.
What are some of your Program's significant accomplishments or strengths in this Core Area?	The OIC Privacy Officer has reviewed the requirements for privacy and security outlined by HHS and feels confident the OIC has the ability to satisfy these requirements.
What are some of the significant barriers your Program has encountered?	Some modifications may need to be made to the Partnership MOUs to most successfully fulfill requirements, per review of MOU by Privacy Officer.
What strategies has your Program employed to deal with these barriers?	No activity in this Core Area occurred during this reporting period.

**B. Exchange Activity**

	Exchange Activity	Target Completion	Status of Exchange Activity	Documentation
1	Privacy and Security standards policies and procedures			Please find attached the OIC Computer Policy and Privacy and Confidentiality Policy.
2	Safeguards based on HHS IT guidance			Please reference the attached documents in Exchange Activity 1.
3	Safeguard protections for Federal information			N/A

### A. Core Areas Oversight, Monitoring, and Reporting

<b>1. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> HBBIE110072	<b>3a. DUNS</b> 162025324  <b>3b. EIN</b> 1556000786A2	<b>4. Reporting Period End Date</b> 12/31/2012
<b>A. Core Area with associated Milestones</b>			

### A. Core Areas

(1) Question	(2) Response
<b>Core Area and Business Function</b>	Oversight, Monitoring, and Reporting
<b>What are the primary strategies your Program has used to approach this Core Area?</b>	Please see the attached documentation below under Exchange Activity 1. The OIC analyzed and developed a list of the necessary requirements to fulfill this function related to the Partnership Exchange.
<b>What are some of your Program's significant accomplishments or strengths in this Core Area?</b>	<p>The OIC continues to hold an MOU with West Virginia University's Health Research Center and Bureau of Business and Economic Research for the development of an evaluation plan to assess the quality of services provided by the Exchange, as well as the health and economic impacts of the program. The metrics developed by WVU will allow the Exchange to monitor future successes and failures of its program goals.</p> <p>Should the State pursue a Consumer Assistance Partnership, the Health Policy Unit will also develop specific performance metrics that the In-Person Assister program must meet, as well as developing a methodology for performing oversight of the federal Navigator program, and the State-operated IPA program. This will be outlined in the next reporting period, pending the Governor's decision.</p>
<b>What are some of the significant barriers your Program has encountered?</b>	The OIC continues to have reservations about the definitions of oversight and monitoring in relation to the Navigator program in a Partnership Exchange. There is a lack of clarity on the approach HHS will take related to monitoring Navigators in a PFE.
<b>What strategies has your Program employed to deal with these barriers?</b>	<p>Continued analysis of what the oversight, monitoring, and reporting requirements in a Partnership Exchange would look like, as well as on-going conversations with CCHIO related to the Consumer Assistance MOU and guidance that has been recently released.</p> <p>The OIC has requested a meeting with CMS to address the issues of oversight, monitoring, and reporting related to the PFE and how the State and CMS can integrate their efforts in a SPE.</p>

### B. Exchange Activity

	Exchange Activity	Target Completion	Status of Exchange Activity	Documentation
1	Routine oversight and monitoring of the Exchange's Activities			Please reference the attached documents related to routine oversight and monitoring.
2	Track/report performance and outcomes metrics related to Exchange Activities			
3	Uphold financial integrity provisions including accounting, reporting, and auditing procedures			CCHIO has indicated WV is exempt from this requirement per the Partnership.

### A. Core Areas Contracting, Outsourcing, and Agreements

<b>1. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> HBEIE110072	<b>3a. DUNS</b> 162025324  <b>3b. EIN</b> 1556000786A2	<b>4. Reporting Period End Date</b> 12/31/2012
<b>A. Core Area with associated Milestones</b>			

### A. Core Areas

(1) Question	(2) Response
<b>Core Area and Business Function</b>	Contracting, Outsourcing, and Agreements
<b>What are the primary strategies your Program has used to approach this Core Area?</b>	The OIC completes work internally to the extent possible, but when subject matter expertise or supplemental support is required, contracting agreements and MOUs have been utilized to work on special projects.
<b>What are some of your Program's significant accomplishments or strengths in this Core Area?</b>	<p>The OIC currently has several MOUs and contracts in place with several different entities to assist on Exchange-related projects. Please reference the attached guide located under Exchange Activity 1 below. Since the last quarterly report, the Exchange has entered in a contract with CCRC Actuaries, in conjunction with Madalena Consulting and Dr. Jonathan Gruber, to provide an actuarial and economic modeling baseline research study.</p> <p>Additional contractual needs are being evaluated and will be reported in the next quarterly report.</p>
<b>What are some of the significant barriers your Program has encountered?</b>	<p>Issues in the State purchasing process have resulted in the delay of multiple contracts. Barriers have also existed in the obtaining of claims information from the private insurance market, with respect to the CCRC project.</p> <p>There has also been difficulty in obtaining additional FTE positions to perform Exchange-related duties, thus having to rely on consultants, contractors, etc., at a higher rate.</p>
<b>What strategies has your Program employed to deal with these barriers?</b>	The OIC has made efforts to use a variety of procurement methods (MOU, ITECH, RFP, RFQ, Sole Source) to help to mitigate any issues with the procurement process. The Health Policy Unit also maintains open dialogue with the OIC Purchasing Unit, as well as the State Purchasing Division.

### B. Exchange Activity

	Exchange Activity	Target Completion	Status of Exchange Activity	Documentation
1	Contracting and outsourcing agreements			Please reference the attached document for a list of completed and current projects being funded by the Level 1 Establishment Grant

## A. Core Areas State Partnership Exchange Activities

<b>1. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> HBBIE110072	<b>3a. DUNS</b> 162025324  <b>3b. EIN</b> 1556000786A2	<b>4. Reporting Period End Date</b> 12/31/2012
<b>A. Core Area with associated Milestones</b>			

### A. Core Areas

(1) Question	(2) Response
<b>Core Area and Business Function</b>	State Partnership Exchange Activities
<b>What are the primary strategies your Program has used to approach this Core Area?</b>	The Health Policy Unit, as well as additional resources, have analyzed in depth the guidance that has been released to date related to the State Partnership Exchange. It has also worked in conjunction with CCIJO to review the Plan Management and Consumer Assistance MOU and provide feedback on those documents. The State also participates in the CCIJO Partnership Exchange deep-dive webinars that highlight the requirements of states that choose to take this Exchange approach.
<b>What are some of your Program's significant accomplishments or strengths in this Core Area?</b>	<p>The State has officially announced that it will enter into a State Partnership Exchange. While the State will pursue a Plan Management Partnership, it is still deliberating the Consumer Assistance Partnership, but will be making a decision by the February 15th deadline. Several key accomplishments have been made in regards to Plan Management and research is currently being undertaken to review Consumer Assistance plans being formulated in other states.</p> <p>The State participated in its Design Review in September, where it highlighted the efforts being made to fulfill the Partnership arrangement. Please refer to the attached documents below for additional detail.</p>
<b>What are some of the significant barriers your Program has encountered?</b>	<p>There have been legal concerns related to some of the language being presented in the MOUs and concerns related to the state's responsibilities in operating the Consumer Assistance program.</p> <p>Additionally, several questions remain outstanding related to Plan Management, including but not limited to, network adequacy, appeals, discriminatory benefit design, and the AV calculator.</p>
<b>What strategies has your Program employed to deal with these barriers?</b>	Continue to work with federal partners to gather needed information. A recommendation has been made to the Governor's Office that the state proceed with both partnerships, given the lack of resources that may be available at the federal level to ensure consumers needs are adequately met.

### B. Exchange Activity

Exchange Activity	Target Completion	Status of Exchange Activity	Documentation
1 Plan Management Agreements	Q1 - CY2013	3. On Schedule	Please reference the attached document for the Plan Management work plan.
2 Capacity to interface with the Federally-facilitated Exchange	Q3 - CY2013	3. On Schedule	Please reference the attached documents.
3 Consumer assistance Agreements	Q1 - CY2013	3. On Schedule	Please reference the attached documents.

### C. Overall Project

<b>1. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight	<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> HBEIE110072	<b>3a. DUNS</b> 162025324	<b>4. Reporting Period End Date</b> 12/31/2012
		<b>3b. EIN</b> 1556000786A2	

**A. Milestones (continued) Complete questions for each Milestone.**

### C. Overall Project


(1) Question	(2) Response
<b>Status of Project</b>	4. On Schedule
<b>Percentage Completed</b>	4. 50-74%
<b>Overall Progress Narrative</b>	The OIC continues to make significant progress in background research, stakeholder engagement, plan management planning, and consumer assistance planning. Please refer to the attached documents that highlight the work that has been accomplished to this point in implementing a State Partnership Exchange. Pending a decision from the Governor's Office, additional details will be provided in the next quarterly report related to the Consumer Assistance Partnership.
<b>Document approved changes to your Program's work plan</b>	Comments:  A revised work plan will be submitted to CMS in the near future to reflect the State's decision to implement a State Partnership Exchange instead of a State-based Exchange.
<b>Please describe any changes to key personnel assigned to this project, including contractual staff</b>	Comments:  There are no current changes to personnel for this reporting period. A revised staffing model is in the process of being develop to reflect the needs of a State Partnership Exchange.
<b>Request CCIO consultation</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No  Comments:  CCIO consultations are always appreciated and very informative in assisting the state in implementing an Exchange.

	OMB Approval Number: 0970-0334 10/31/2012
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### Grantee Information

<b>1. Federal Agency and Organization Element to Which Report is Submitted</b> Consumer Information & Insurance Oversight		<b>2. Federal Grant or Other Identifying Number Assigned by Federal Agency</b> HBEIE110072		<b>3a. DUNS Number</b> 162025324	
				<b>3b. EIN</b> 1556000786A2	
<b>4. Recipient Organization</b> West Virginia Offices of the Insurance Commissioner				<b>5. Recipient Identifying Number or Account Number</b>	
<b>Address Line 1</b> 1124 Smith St					
<b>Address Line 2</b> Health Policy					
<b>Address Line 3</b>					
<b>City</b> Charleston		<b>State</b> WV		<b>Zip Code</b> 25301	
				<b>Zip Ext.</b> 1323	
<b>6. Project/Grant Period Start Date:</b> 08/15/2011		<b>6. Project/Grant Period End Date:</b> 08/13/2013		<b>7. Reporting Period End Date:</b> 12/31/2012	
				<b>8. Final Report?</b> Yes	
				<b>9. Report Frequency</b> SEMI-ANNUAL	
<b>10. Performance Narrative (Attach a performance narrative as instructed by the awarding Federal Agency)</b>					
<b>11. Other Attachments (attach other documents as needed or as instructed by the awarding Federal Agency)</b>					

### Certification

<b>12. Certification: I certify to the best of my knowledge and belief that this report is correct and complete for performance of activities for the purposes set forth in the award documents.</b>	
<b>12a. Typed or Printed Name and Title of Authorized Certifying Official</b> Jeremiah Samples	<b>12c. Telephone (area code, number and extension)</b> ( 304) 558- 6279 Ext. 01131
	<b>12d. Email Address</b> jeremiah.samples@wvinsurance.gov
<b>12b. Signature of Authorized Certifying Official</b> 	<b>12e. Date Report Submitted (Month, Day, Year)</b> 01/28/2013

### IT Profile Supplemental

Line Item	Response
Identify the percentage of your State's population that is uninsured. (please include date of information)	14% of the State's total population is currently uninsured. The rate is 16% for the nonelderly population between 0-64 years of age. (2010-2011) <a href="http://www.statehealthfacts.org/profileind.jsp?ind=125&amp;cat=3&amp;rgn=50">http://www.statehealthfacts.org/profileind.jsp?ind=125&amp;cat=3&amp;rgn=50</a> <a href="http://www.statehealthfacts.org/profileind.jsp?ind=126&amp;cat=3&amp;rgn=50">http://www.statehealthfacts.org/profileind.jsp?ind=126&amp;cat=3&amp;rgn=50</a> CCRC Actuaries is currently in the process of conducting an actuarial assessment and economic model that will help refine these estimates.
Identify the percentage of your State's population that receives employee-sponsored health insurance benefits. (please include date of information)	48% of the total WV population has employer-sponsored insurance; 57% of those between 0-64 years of age. <a href="http://www.statehealthfacts.org/profileind.jsp?ind=125&amp;cat=3&amp;rgn=50">http://www.statehealthfacts.org/profileind.jsp?ind=125&amp;cat=3&amp;rgn=50</a> <a href="http://www.statehealthfacts.org/profileind.jsp?ind=126&amp;cat=3&amp;rgn=50">http://www.statehealthfacts.org/profileind.jsp?ind=126&amp;cat=3&amp;rgn=50</a> CCRC Actuaries is currently in the process of conducting an actuarial assessment and economic model that will help refine these estimates.
Identify the percentage of your State's population that purchases health insurance coverage through the individual market. (please include date of information)	2% of the total population purchases coverage through the individual market; 2% of the 0-64 age range also. <a href="http://www.statehealthfacts.org/profileind.jsp?ind=125&amp;cat=3&amp;rgn=50">http://www.statehealthfacts.org/profileind.jsp?ind=125&amp;cat=3&amp;rgn=50</a> <a href="http://www.statehealthfacts.org/profileind.jsp?ind=126&amp;cat=3&amp;rgn=50">http://www.statehealthfacts.org/profileind.jsp?ind=126&amp;cat=3&amp;rgn=50</a> CCRC Actuaries is currently in the process of conducting an actuarial assessment and economic model that will help refine these estimates.
	17% of the total state population has Medicaid coverage; 19% of those between 0-64 years of age. <a href="http://www.statehealthfacts.org/profileind.jsp">http://www.statehealthfacts.org/profileind.jsp</a>



<p>Identify the percentage of your State's population that are Medicaid beneficiaries. (please include date of information)</p>	<p>ind=125&amp;cat=3&amp;rgn=50  <a href="http://www.statehealthfacts.org/profileind.jsp?ind=126&amp;cat=3&amp;rgn=50">http://www.statehealthfacts.org/profileind.jsp?ind=126&amp;cat=3&amp;rgn=50</a> CCRC Actuaries is currently in the process of conducting an actuarial assessment and economic model that will help refine these estimates.</p>
<p>Identify the percentage of your State's population that are Medicare beneficiaries. (please include date of information)</p>	<p>17% of the total population is a Medicare beneficiary.  <a href="http://www.statehealthfacts.org/profileind.jsp?ind=125&amp;cat=3&amp;rgn=50">http://www.statehealthfacts.org/profileind.jsp?ind=125&amp;cat=3&amp;rgn=50</a> CCRC Actuaries is currently in the process of conducting an actuarial assessment and economic model that will help refine these estimates.</p>
<p>Identify the percentage of your State's population that are forms of public insurance other than Medicaid or Medicare. (please include date of information)</p>	<p>2% of the State's total population is covered by other forms of public insurance. 5% of the population between 0-64 years of age is covered by this form of insurance.  <a href="http://www.statehealthfacts.org/profileind.jsp?ind=125&amp;cat=3&amp;rgn=50">http://www.statehealthfacts.org/profileind.jsp?ind=125&amp;cat=3&amp;rgn=50</a>  <a href="http://www.statehealthfacts.org/profileind.jsp?ind=126&amp;cat=3&amp;rgn=50">http://www.statehealthfacts.org/profileind.jsp?ind=126&amp;cat=3&amp;rgn=50</a> CCRC Actuaries is currently in the process of conducting an actuarial assessment and economic model that will help refine these estimates.</p>
<p>Name of Medicaid Program</p>	<p>West Virginia Medicaid</p>
<p>Name of State Medicaid Agency</p>	<p>WV Bureau for Medical Services; WVDHHR</p>
<p>Medicaid Enrollment (please include date of information)</p>	<p>Monthly Medicaid enrollment for June 2011 was 335,400 individuals.  <a href="http://www.statehealthfacts.org/profileind.jsp?ind=774&amp;cat=4&amp;rgn=50">http://www.statehealthfacts.org/profileind.jsp?ind=774&amp;cat=4&amp;rgn=50</a> CCRC Actuaries is currently in the process of conducting an actuarial assessment and economic model that will help refine these estimates.</p>
<p>Projected Newly Enrolled in Medicaid (please include date of information)</p>	<p>121,635 new enrollees are projected in WV Medicaid by 2019 per a 2010 Kaiser study.  <a href="http://www.kff.org/healthreform/upload/medicaid-coverage-and-spending-in-health-reform-national-and-state-by-state-results-for-adults-at-or-below-133-fpl.pdf">http://www.kff.org/healthreform/upload/medicaid-coverage-and-spending-in-health-reform-national-and-state-by-state-results-for-adults-at-or-below-133-fpl.pdf</a> CCRC Actuaries is currently in the process of conducting an actuarial assessment and economic model that will help refine these estimates.</p>
<p>Does your State have a 1115 Comprehensive Demonstration Waiver, and if so, what is the demonstration period?</p>	<p>WV does not have a 1115 waiver, and is planning to make similar changes via a state plan amendment using the flexibility permitted by the Deficit Reduction Act.</p>
<p>Does your State have an Early Option Coverage?</p>	<p>No.</p>
<p>Current Delivery System(s): address FFS, Managed Care, and Other systems.</p>	<p>Both FFS and managed care are available as current delivery systems.</p>
<p>Is CHIP run as Separate or Medicaid Expansion</p>	<p>Separate.</p>
<p>Name of CHIP Program</p>	<p>WV Children's Health Insurance Program.</p>
<p>Name of Agency that Administers CHIP</p>	<p>WV Department of Administration.</p>

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		3b. EIN1556000786A2	

**Budget Supplemental**

Quarterly Financial Progress Report	Total Federal Funds Authorized	Federal Share of Expenditures	Federal Share of Unliquidated Obligations	Total Federal Share	Unobligated Balance of Federal Funds
State Personnel	\$778,777	\$244,005	\$0	\$244,005	\$534,772
IT	\$79,587	\$29,562	\$0	\$29,562	\$50,025

**Include FTE's for IT**  
IT Lead, CTO

Quarterly Financial Progress Report	Total Federal Funds Authorized	Federal Share of Expenditures	Federal Share of Unliquidated Obligations	Total Federal Share	Unobligated Balance of Federal Funds
Non-IT	\$699,190	\$214,443	\$0	\$214,443	\$484,747

**Include FTE's for Non-IT**  
Executive Director, COO, Project Manager for Finance, Attorney, Executive Secretary, Public Education/Marketing Director, Health Policy Researcher, Individual Exchange Manager, SHOP Manager, Health Policy/Insurance Research Specialist (x2), Project Director, Lead Economic Researcher, Secretary II, APCD Director, Insurance Program Specialist for Outreach, Health Policy Director, Legal/Regulatory, Rates and Forms Analyst, Rates and Forms Director, Communications, Consumer Services Director, Consumer Services Manager, Financial Accounting Director

Quarterly Financial Progress Report	Total Federal Funds Authorized	Federal Share of Expenditures	Federal Share of Unliquidated Obligations	Total Federal Share	Unobligated Balance of Federal Funds
Fringe	\$295,935	\$68,507	\$0	\$68,507	\$227,428
Travel	\$33,772	\$6,930	\$0	\$6,930	\$26,842
Supplies	\$37,670	\$0	\$0	\$0	\$37,670
IT	\$0	\$0	\$0	\$0	\$0
Non-IT	\$37,670	\$0	\$0	\$0	\$37,670
Equipment	\$67,040	\$5,430	\$0	\$5,430	\$61,610
IT	\$0	\$0	\$0	\$0	\$0
Non-IT	\$67,040	\$5,430	\$0	\$5,430	\$61,610
Contractual	\$7,944,096	\$1,766,449	\$0	\$1,766,449	\$6,177,647
IT	\$2,067,504	\$376,833	\$0	\$376,833	\$1,690,671
Non-IT	\$5,876,592	\$1,389,616	\$0	\$1,389,616	\$4,486,976
Consultant	\$191,800	\$0	\$0	\$0	\$191,800
IT	\$0	\$0	\$0	\$0	\$0
Non-IT	\$191,800	\$0	\$0	\$0	\$191,800
Other [please specify]	\$318,604	\$0	\$0	\$0	\$318,604
<b>TOTAL</b>	<b>\$9,667,694</b>	<b>\$2,091,321</b>	<b>\$0</b>	<b>\$2,091,321</b>	<b>\$7,576,373</b>

**Contractual Detail**

Activity Number	Award Date	Contractor if known	Amount	Period of Performance	Services
No. 1	6/7/2011	BerryDunn	\$1,760,000	24 months	Project Management oversight; Exchange Business Plan development; Exchange IT Strategic Plan development; Plan Management assistance; and other SOWs as necessary.
No. 2	2/9/2012	WV State Oral Health Program	\$144,000	10 months	This project has been completed. Reports delivered in connection to their associated deliverables can be located at: <a href="http://bewv.wvinsurance.gov/ResearchProjects/OralHealthProject.aspx">http://bewv.wvinsurance.gov/ResearchProjects/OralHealthProject.aspx</a>
No. 3	2/2/2012	CESD/WVU	\$57,400	12 months	Facilitation of stakeholder meetings; preparation of meeting notes, agendas, etc.; and listserv oversight.
No. 4	5/1/2012	United Actuaries	\$109,000	12 months	Analysis of options available to WV with respect to the Essential Health Benefits
No. 5	4/30/2012	WVU HRC/BBER	\$94,919	10 months	Development of the evaluation plan for the implementation, impact, and outcomes of the WV Health Benefit Exchange from the standpoints of key business and economic indicators and population health. Will also be assisting with development of Consumer

					Assistance plans.
No. 6	2/14/2012	WV School of Osteopathic Medicine	\$60,000	12 months	Development of strategies to promote quality through measurement and reporting; promoting quality and value through Exchange purchasing; and engagement of consumers.
No. 7	6/1/2012	NAIC/SERFF	\$84,451	On-Going	Includes both Phase I and Phase II of the SERFF enhancements for the OIC Plan Management System.
No. 8	6/1/2012	Marshall University	\$57,834	8 months	Study of the health insurance literacy levels of WV residents and how to improve this understanding of information.
No. 9	6/8/2012	WVCHIP	\$81,000	9 months	The study will examine the number of mixed families within WV who may become CHIP eligible under the new MAGI methodology. A survey to determine the number of adults uninsured/insured in each household; where individuals seek medical treatment; and cost-sharing and premium information.
No. 10	9/1/2012	CCRC	\$861,500	12 months	Actuarial and economic modeling study of WV. The winning bid for this RFP can be located here: <a href="http://www.state.wv.us/admin/purchase/bids/fy2012/B_INS12001_02.pdf">http://www.state.wv.us/admin/purchase/bids/fy2012/B_INS12001_02.pdf</a>
No. 11	8/1/2012	NASHP	\$111,830	12 months	Study of the regional Exchange concept, including the sharing of risk pools and IT infrastructure.
No. 12		Amy Norwood	\$125,000		Contract was terminated shortly after hiring; position will be eliminated in budget modification.